

PATENT APPLICATION A-66914-2/RFT/NBC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL  
re application of: ) Examiner: Unknown  
David A. ZARLING, et al. ) Group Art Unit: 1636  
Serial No.: 09/886,171 )  
Filed: June 20, 2001 )  
For: DOMAIN SPECIFIC )  
GENE EVOLUTION )  
===== )

Certificate of Mailing

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231

on: December 4, 2001

Signed: Janice Failing

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

Appended hereto is a copy of the Official Filing Receipt for the above-identified patent application, with the errors marked in red.

In the Filing Date portion of the filing receipt, please delete "06/21/2001" and insert - 06/20/2001 -.

Also appended hereto is a copy of the return postcard for this filing as received from the PTO, which we offer as verification of the correct date. On that copy, the stamped date of receipt is highlighted in yellow and circled in red.

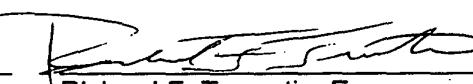
Serial No.: 09/886,171  
Filing Date: June 20, 2001

Because this error was caused by the U.S. Patent and Trademark Office, it is believed no fee is necessary. However, if that belief is in error, the Commissioner is hereby authorized to charge whatever fee may be necessary to Debit Account No. 06-1300 (Our Order No. A-66914-2-/RFT/NBC).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Date: Dec 4, 2001

  
Richard F. Trecartin, Esq.  
Reg. No. 31,801

Flehr Hohbach Test Albritton & Herbert LLP  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111  
(415) 781-1989

#1069407-1(SF)



## UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 13 2001

JUN 04 2001

COPYRIGHT  
ORIGIN UNKNOWN

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/886,171	06/21/2001	1636	.00	A-66914- 2/RFT/BTC/AMS	2	24	4

06/20/2001

CONFIRMATION NO. 8414

## FILING RECEIPT



OC00000006971502

RICHARD F. TRECARTIN, ESQ.  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

Date Mailed: 10/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon! If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Zarling, Menlo Park, CA;  
Gurucharan Reddy, Fremont, CA;  
Sushma Pati, Woodside, CA;

## Assignment For Published Patent Application

Pangene Corporation;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/373,347 08/12/1999 ABN  
AND A CON OF 09/133,934 08/14/1998 PAT 6,074,853  
AND CLAIMS BENEFIT OF 60/096,330 08/12/1998

## Foreign Applications

If Required, Foreign Filing License Granted 10/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Domain specific gene evolution

**Preliminary Class**

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COPY OF PAPERS  
ORIGINALLY FILED

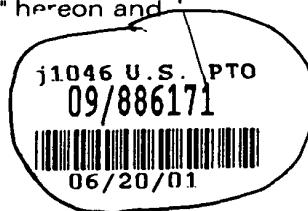
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

File No. A-66914-2/RFT/BTC/AMS

Serial No.: TO BE ASSIGNED  
Applicant: ZARLING et al.  
For: DOMAIN SPECIFIC GENE EVOLUTION

Please acknowledge receipt of the enclosed new CONTINUATION APPLICATION by imprinting the Patent Office "date stamp" hereon and returning to the addressee indicated on the reverse.

1. Continuation Application Transmittal
2. Specification: 43 pages, including 24 claims
3. Claims: 24
4. Drawings: 4 sheets (Informal)
5. Amendment re Sequence Listing
6. Sequence Listing - paper copy and computer readable disk copy
7. Petition for Extension of Time (3-MO) in the parent case (Serial No. 09/373,347)
8. Check for \$890.00 for the Extension of Time in the parent case (Serial No. 09/373,347)
9. Express Mail Certificate No. EL758643351US



Date of Deposit: June 20, 2001

*J*

File A-66914-2 RFT/BTC/AMS

Date Date 9/20/01

Type IDS Ref.